Lecture 13 Introduction to Convolutional Neural Networks Part 3

STAT 479: Deep Learning, Spring 2019

Sebastian Raschka

http://stat.wisc.edu/~sraschka/teaching/stat479-ss2019/

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Face Recognition and Metric Learning

Siamese Networks



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Siamese Networks

Often used for "One-shot learning"

- Suppose you trained a Siamese network for verification tasks
- Now, suppose you have only ~1 object per class
- You can compare any new object to any object based on maximum similarity to your given images (somewhat related to K-nearest neighbors)

Face Recognition: **Face Identification vs Face Verification**

A. Identification

Determine identity of an unknown person 1-to-n matching



B. Verification Verify claimed identity of a person 1-to-1 matching



dataset link: <u>http://mmlab.ie.cuhk.edu.hk/projects/CelebA.html</u>

dataset link: http://www.milbo.org/muct/

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DeepFace

Taigman, Yaniv, Ming Yang, Marc'Aurelio Ranzato, and Lior Wolf. "Deepface: <u>Closing the gap to human-level performance in face verification</u>." In *Proceedings of the IEEE conference on computer vision and pattern recognition*, pp. 1701-1708. 2014.



Figure 2. Outline of the *DeepFace* architecture. A front-end of a single convolution-pooling-convolution filtering on the rectified input, followed by three locally-connected layers and two fully-connected layers. Colors illustrate feature maps produced at each layer. The net includes more than 120 million parameters, where more than 95% come from the local and fully connected layers.

Hybrid between traditional methods and deep learning

DeepFace

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Given an image I, the representation G(I) is then computed using the described feed-forward network. Any feedforward neural network with L layers, can be seen as a composition of functions g_{ϕ}^{l} . In our case, the representation is: $G(I) = g_{\phi}^{F_{7}}(g_{\phi}^{L_{6}}(...g_{\phi}^{C_{1}}(T(I,\theta_{T}))...))$ with the net's parameters $\phi = \{C_{1},...,F_{7}\}$ and $\theta_{T} = \{x_{2d}, \vec{P}, \vec{r}\}$ as described in Section 2.

Normaliaztion As a final stage we normalize the features to be between zero and one in order to reduce the sensitivity to illumination changes: Each component of the feature vector is divided by its largest value across the training set. This is then followed by L_2 -normalization: $f(I) := \overline{G}(I)/||\overline{G}(I)||_2$ where $\overline{G}(I)_i = G(I)_i/\max(G_i, \epsilon)^{-3}$. Since we employ ReLU activations, our system is not invariant to re-scaling of the image intensities. Without bi-

normalized feature vectors

²See the **supplementary** material for more details.

 $^{{}^{3}\}epsilon = 0.05$ in order to avoid division by a small number.

DeepFace - Face Recognition

Taigman, Yaniv, Ming Yang, Marc'Aurelio Ranzato, and Lior Wolf. "Deepface: <u>Closing the gap to human-level performance in face verification</u>." In *Proceedings of the IEEE conference on computer vision and pattern recognition*, pp. 1701-1708. 2014.



- Regular softmax ouput layer for classifying faces (face IDs) optimized via cross-entropy loss.
- Note they have 1-4k classes (they achieved a classification accuracy of \sim 93%).

DeepFace - Face Verification

Taigman, Yaniv, Ming Yang, Marc'Aurelio Ranzato, and Lior Wolf. "Deepface: <u>Closing the gap to human-level performance in face verification</u>." In *Proceedings of the IEEE conference on computer vision and pattern recognition*, pp. 1701-1708. 2014.



Weighted chi-square distance + SVM classifier for binary classification (predict whether two images depict the same person) $\chi^2(f_1, f_2) = \sum_i w_i (f_1|i] - f_2[i])^2 / (f_1[i] + f_2[i])$ You may know this from other stats classes for comparing discrete probability distributions (histograms) The weight is learned by the SVM. (They achieved a classification accuracy is ~97%.)

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ReLU -- MaxPool Order



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```
self.conv_2 = torch.nn.Conv2d(in_channels=4,
                                   out channels=8,
                                   kernel size=(3, 3),
                                   stride=(1, 1),
                                   padding=1) \# (1(14-1) - 14 + 3) / 2 = 1
    # 14x14x8 \implies 7x7x8
    self.pool 2 = torch.nn.MaxPool2d(kernel size=(2, 2),
                                      stride=(2, 2),
                                      padding=0) \# (2(7-1) - 14 + 2) = 0
    self.linear 1 = torch.nn.Linear(7*7*8, num classes)
def forward(self, x):
    out = self.conv_1(x)
    out = F.relu(out)
    out = self.pool_1(out)
    out = self.conv 2(out)
    out = F.relu(out)
    out = self.pool 2(out)
    logits = self.linear_1(out.view(-1, 7*7*8))
    probas = F.softmax(logits, dim=1)
    return logits, probas
```

<u>https://github.com/rasbt/stat479-deep-learning-ss19/blob/master/L13_intro-cnn/code/cnn-with-diff-init/default.ipynb</u>

2)

From PyTorch Lecture (Lecture 6):

1) class MultilayerPerceptron(torch.nn.Module): def __init__(self, num_features, num_classes): super(MultilayerPerceptron, self).__init__() self.my_network = torch.nn.Sequential(

```
torch.nn,Linear(num_features, num_hidden
torch.nn.ReLU(),
torch.nn.Linear(num_hidden_1, num_hidden
torch.nn.ReLU(),
torch.nn,Linear(num_hidden_2, num_classe
)
```

```
def forward(self, x):
    logits = self.my_network(x)
    probas = F.softmax(logits, dim=1)
    return logits, probas
```

Much more compact and clear, but "forward" may be harder to debug if there are errors (we cannot simply add breakpoints or insert "print" statements However, if you use Sequential, you can define "hooks" to get intermediate outputs. For example:

[7]: model.net [7]: Sequential((0): Linear(in_features=784, out_features=128, bias=True) (1): ReLU(inplace) (2): Linear(in_features=128, out_features=256, bias=True) (3): ReLU(inplace) (4): Linear(in_features=256, out_features=10, bias=True) If we want to get the output from the 2nd layer during the forward pass, we can register a hook as follows: [8]: outputs = [] def hook(module, input, output): outputs.append(output) model.net[2].register_forward_hook(hook) <torch.utils.hooks.RemovableHandle at 0x7f659c6685c0> [8]: Now, if we call the model on some inputs, it will save the intermediate results in the "outputs" list: [9]: _ = model(features) print(outputs) [tensor([[0.5341, 1.0513, 2.3542, ..., 0.0000, 0.0000, 0.0000], [0.0000, 0.6676, 0.6620, ..., 0.0000, 0.0000, 2.4056],

[0.0000, 0.6676, 0.6620, ..., 0.0000, 0.0000, 2.4056], [1.1520, 0.0000, 0.0000, ..., 2.5860, 0.8992, 0.9642], ..., [0.0000, 0.1076, 0.0000, ..., 1.8367, 0.0000, 2.5203], [0.5415, 0.0000, 0.0000, ..., 2.7968, 0.8244, 1.6335], [1.0710, 0.9805, 3.0103, ..., 0.0000, 0.0000, 0.0000]], device='cuda:3', grad_fn=<ThresholdBackward1>)]

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Model Settings

NO NEED TO CHANGE THIS CELL #-----*### SETTINGS* #-----*# Hyperparameters* RANDOM SEED = 1 $LEARNING_RATE = 0.001$ $BATCH_SIZE = 256$ NUM_EPOCHS = 20*#* Architecture NUM FEATURES = 32×32 $NUM_CLASSES = 10$ *#* Other DEVICE = "cuda:0"

23 minutes ago

It seems that the model simply takes too much memory.

I tried various numbers but the memory seems to always run out at some point in training

FaceNet - Face Verification

Schroff, Florian, Dmitry Kalenichenko, and James Philbin. "Facenet: A unified embedding for face recognition and clustering." In *Proceedings of the IEEE conference on computer vision and pattern recognition*, pp. 815-823. 2015.



Figure 2. Model structure. Our network consists of a batch input layer and a deep CNN followed by L_2 normalization, which results in the face embedding. This is followed by the triplet loss during training.

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Figure 3. The **Triplet Loss** minimizes the distance between an *an-chor* and a *positive*, both of which have the same identity, and maximizes the distance between the *anchor* and a *negative* of a different identity.





$$d(A, P) \le d(A, N)$$
$$\|f(A) - f(P)\|_2^2 \le \|f(A) - f(N)\|_2^2$$

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$$\begin{split} d(A,P) + \alpha &\leq d(A,N) \\ \|f(A) - f(P)\|_2^2 + \alpha &\leq \|f(A) - f(N)\|_2^2 \\ & \text{To make it a little harder} \end{split}$$

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Bounded loss function for training:

 $\mathcal{L}(A, P, N) = \max\left(\|f(A) - f(P)\|_{2}^{2} + \alpha - \|f(A) - f(N)\|_{2}^{2}, 0\right)$



<u>In practice</u>: Selecting good pairs (those that are "hard") is crucial during training

$$\mathcal{L}(A, P, N) = \max\left(\|f(A) - f(P)\|_{2}^{2} + \alpha - \|f(A) - f(N)\|_{2}^{2}, 0\right)$$

Schroff, Florian, Dmitry Kalenichenko, and James Philbin. "Facenet: A unified embedding for face recognition and clustering." In *Proceedings* of the IEEE conference on computer vision and pattern recognition, pp. 815-823. 2015.

Architecture used

layer	size-in	size-out	kernel	param	FLPS
conv1	$220 \times 220 \times 3$	$110 \times 110 \times 64$	$7 \times 7 \times 3, 2$	9K	115M
pool1	$110 \times 110 \times 64$	$55 \times 55 \times 64$	$3 \times 3 \times 64, 2$	0	
rnorm1	$55 \times 55 \times 64$	$55 \times 55 \times 64$		0	
conv2a	$55 \times 55 \times 64$	$55 \times 55 \times 64$	$1 \times 1 \times 64, 1$	4K	13M
conv2	$55 \times 55 \times 64$	$55 \times 55 \times 192$	$3 \times 3 \times 64, 1$	111K	335M
rnorm2	$55 \times 55 \times 192$	$55 \times 55 \times 192$		0	
pool2	$55 \times 55 \times 192$	$28 \times 28 \times 192$	$3 \times 3 \times 192, 2$	0	
conv3a	$28 \times 28 \times 192$	$28 \times 28 \times 192$	$1 \times 1 \times 192, 1$	37K	29M
conv3	$28 \times 28 \times 192$	$28 \times 28 \times 384$	$3 \times 3 \times 192, 1$	664K	521M
pool3	$28 \times 28 \times 384$	$14 \times 14 \times 384$	$3 \times 3 \times 384, 2$	0	
conv4a	$14 \times 14 \times 384$	$14 \times 14 \times 384$	$1 \times 1 \times 384, 1$	148K	29M
conv4	$14 \times 14 \times 384$	$14 \times 14 \times 256$	$3 \times 3 \times 384, 1$	885K	173M
conv5a	$14 \times 14 \times 256$	$14 \times 14 \times 256$	$1 \times 1 \times 256, 1$	66K	13M
conv5	$14 \times 14 \times 256$	$14 \times 14 \times 256$	$3 \times 3 \times 256, 1$	590K	116M
conv6a	$14 \times 14 \times 256$	$14 \times 14 \times 256$	$1 \times 1 \times 256, 1$	66K	13M
conv6	$14 \times 14 \times 256$	$14 \times 14 \times 256$	$3 \times 3 \times 256, 1$	590K	116M
pool4	$14 \times 14 \times 256$	$7 \times 7 \times 256$	$3 \times 3 \times 256, 2$	0	
concat	$7 \times 7 \times 256$	$7 \times 7 \times 256$		0	
fc1	$7 \times 7 \times 256$	$1 \times 32 \times 128$	maxout p=2	103M	103M
fc2	$1 \times 32 \times 128$	$1 \times 32 \times 128$	maxout p=2	34M	34M
fc7128	$1 \times 32 \times 128$	$1 \times 1 \times 128$		524K	0.5M
L2	$1 \times 1 \times 128$	$1 \times 1 \times 128$		0	
total				140M	1.6B

Table 1. NN1. This table show the structure of our Zeiler&Fergus [22] based model with 1×1 convolutions inspired by [9]. The input and output sizes are described in $rows \times cols \times \# filters$. The kernel is specified as $rows \times cols$, stride and the maxout [6] pooling size as p = 2.

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Schroff, Florian, Dmitry Kalenichenko, and James Philbin. "<u>Facenet: A unified embedding for face recognition</u> and clustering." In *Proceedings of the IEEE conference on computer vision and pattern recognition*, pp. 815-823. 2015.

jpeg q	val-rate		1	1
	67.20/-	#pixe	els	val-rate
	07.5%	1,60	00	37.8%
20	81.4%	640	0	79 5%
30	83.9%		20	01507
50	85.5%	14,40	50	84.3%
70	86.10%	25,60	00	85.7%
70	00.170	65.53	36	86.4%
90	86.5%			

Table 4. **Image Quality.** The table on the left shows the effect on the validation rate at 10E-3 precision with varying JPEG quality. The one on the right shows how the image size in pixels effects the validation rate at 10E-3 precision. This experiment was done with NN1 on the first split of our test hold-out dataset.

Schroff, Florian, Dmitry Kalenichenko, and James Philbin. "Facenet: A unified embedding for face recognition and clustering." In *Proceedings of the IEEE conference on computer vision and pattern recognition*, pp. 815-823. 2015.



Table 5. **Embedding Dimensionality.** This Table compares the effect of the embedding dimensionality of our model NN1 on our hold-out set from section 4.1. In addition to the VAL at 10E-3 we also show the standard error of the mean computed across five splits.

Schroff, Florian, Dmitry Kalenichenko, and James Philbin. "<u>Facenet: A unified embedding for face recognition</u> and clustering." In *Proceedings of the IEEE conference on computer vision and pattern recognition*, pp. 815-823. 2015.

#training images	VAL
2,600,000	76.3%
26,000,000	85.1%
52,000,000	85.1%
260,000,000	86.2%

Table 6. **Training Data Size.** This table compares the performance after 700h of training for a smaller model with 96x96 pixel inputs. The model architecture is similar to NN2, but without the 5x5 convolutions in the Inception modules.

Schroff, Florian, Dmitry Kalenichenko, and James Philbin. "Facenet: A unified embedding for face recognition and clustering." In *Proceedings of the IEEE conference on computer vision and pattern recognition*, pp. 815-823. 2015.



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Figure 2. Model structure. Our network consists of a batch input layer and a deep CNN followed by L_2 normalization, which results in the face embedding. This is followed by the triplet loss during training.

Suppose we have 2 L2-normalized vectors: $\|\mathbf{x}\|_2 = \|\mathbf{y}\|_2 = 1$

The squared L2 distance is then proportional to the cosine similarity

$$\begin{aligned} \|\mathbf{x} - \mathbf{y}\|_{2}^{2} &= (\mathbf{x} - \mathbf{y})^{\top} (\mathbf{x} - \mathbf{y}) \\ &= \mathbf{x}^{\top} \mathbf{x} - 2\mathbf{x}^{\top} \mathbf{y} + \mathbf{y}^{\top} \mathbf{y} \\ &= 2 - 2\mathbf{x}^{\top} \mathbf{y} \\ &= 2 - 2\cos(\mathbf{x}, \mathbf{y}) \quad \text{where } \cos(\mathbf{x}, \mathbf{y}) = \frac{\mathbf{x}^{\top} \mathbf{y}}{\|\mathbf{x}\| \cdot \|\mathbf{y}\|} \in [-1, 1] \end{aligned}$$
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Optional: Recent Triplet Loss Variants

(not required), only for those who are interested

• Cosine Similarity-based triplet loss:

Li, Chao, Xiaokong Ma, Bing Jiang, Xiangang Li, Xuewei Zhang, Xiao Liu, Ying Cao, Ajay Kannan, and Zhenyao Zhu. "<u>Deep speaker: an end-to-end neural</u> <u>speaker embedding system</u>." *arXiv preprint arXiv:1705.02304* (2017).

• Angular Loss:

Wang, Jian, Feng Zhou, Shilei Wen, Xiao Liu, and Yuanqing Lin. "<u>Deep metric</u> <u>learning with angular loss</u>." In *Proceedings of the IEEE International Conference on Computer Vision*, pp. 2593-2601. 2017.

• Large margin cosine loss:

Wang, Hao, Yitong Wang, Zheng` Zhou, Xing Ji, Dihong Gong, Jingchao Zhou, Zhifeng Li, and Wei Liu. "<u>Cosface: Large margin cosine loss for deep face</u> <u>recognition</u>." In *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*, pp. 5265-5274. 2018.

Additional Concepts to Wrap Up the Intro to Convolutional Neural Networks

ConvNets and 3D Inputs



Diba, Ali, Mohsen Fayyaz, Vivek Sharma, Amir Hossein Karami, Mohammad Mahdi Arzani, Rahman Yousefzadeh, and Luc Van Gool. "<u>Temporal 3d convnets: New architecture and transfer learning for video classification</u>." *arXiv preprint arXiv: 1711.08200* (2017).

Also very popular for Medical Imaging (MRI, CT scans ...)

ConvNets and 3D Inputs



 $\mathbf{W} \in \mathbb{R}^{m_1 \times m_2 \times c_{in} \times c_{out}} \quad \mathbf{b} \in \mathbb{R}^{c_{out}}$

ConvNets and 3D Inputs

Usage is similar to Conv2d, except that we now have 3 dimensional kernels

Conv3d

CLASS torch.nn.Conv3d(*in_channels*, *out_channels*, *kernel_size*, *stride=1*, *padding=0*, *dilation=1*, *groups=1*, *bias=True*)

[SOURCE]

Applies a 3D convolution over an input signal composed of several input planes.

https://pytorch.org/docs/stable/nn.html?highlight=conv3d#torch.nn.functional.conv3d

```
[1]: import torch
import torch.nn as nn
[2]: m = nn.Conv3d(16, 33, 3, stride=2)
m = nn.Conv3d(16, 33, (3, 5, 2), stride=(2, 1, 1), padding=(4, 2, 0))
input = torch.randn(20, 16, 10, 50, 100)
output = m(input)
[3]: input.size()
[3]: torch.Size([20, 16, 10, 50, 100])
[4]: output.size()
[4]: torch.Size([20, 33, 8, 50, 99])
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```

ConvNets for Text with 1D Convolutions

We can think of text as image with width 1



ConvNets for Text with 1D Convolutions

We can think of text as image with width 1



https://pytorch.org/docs/stable/nn.html#conv1d

Conv1d

CLASS	torch.nn.Conv1d(<i>in_channels</i> , <i>out_channels</i> , <i>kernel_size</i> , <i>stride=1</i> ,		
	padding=0, dilation=1, groups=1, bias=True)	LOOKCE	

Applies a 1D convolution over an input signal composed of several input planes.

Dilated Convolutions



CNNs for Text (with 2D Convolutions)

Good results have also been achieved by representing a sentence as a matrix of word vectors and applying 2D convolutions (where each filter uses a different kernel size)



Figure 1: Model architecture with two channels for an example sentence.

Kim, Y. (2014). Convolutional neural networks for sentence classification. arXiv preprint arXiv:1408.5882.

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- A technique that may be useful for your class projects
- Key idea:
 - ✦ Feature extraction layers may be generally useful
 - ◆ Use a pre-trained model (e.g., pretrained on ImageNet)
 - ◆ Freeze the weights: Only train last layer (or last few layers)
- Related approach: Finetuning, train a pre-trained network on your smaller dataset

PyTorch implementation: <u>https://github.com/rasbt/stat479-deep-learning-ss19/blob/master/L13_intro-cnn/code/vgg16.ipynb</u>



Simonyan, Karen, and Andrew Zisserman. "<u>Very deep convolutional networks for large-scale</u> <u>image recognition</u>." *arXiv preprint arXiv:1409.1556* (2014).

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https://pytorch.org/docs/stable/torchvision/models.html

Docs > torchvision > torchvision.models

>_

TORCHVISION.MODELS

The models subpackage contains definitions for the following model architectures:

- AlexNet
- VGG
- ResNet
- SqueezeNet
- DenseNet
- Inception v3
- GoogLeNet

You can construct a model with random weights by calling its constructor:

```
import torchvision.models as models
resnet18 = models.resnet18()
alexnet = models.alexnet()
vgg16 = models.vgg16()
squeezenet = models.squeezenet1_0()
densenet = models.densenet161()
inception = models.inception_v3()
googlenet = models.googlenet()
```

https://pytorch.org/docs/stable/torchvision/models.html

Docs > torchvision > torchvision.models

>_

TORCHVISION.MODELS

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All pre-trained models expect input images normalized in the same way, i.e. mini-batches of 3-channel RGB images of shape (3 x H x W), where H and W are expected to be at least 224. The images have to be loaded in to a range of [0, 1] and then normalized using mean = [0.485, 0.456, 0.406] and std = [0.229, 0.224, 0.225]. You can use the following transform to normalize:

Transfer Learning Example

PyTorch example: <u>https://github.com/rasbt/stat479-deep-learning-ss19/</u> <u>blob/master/L13_intro-cnn/code/vgg16-transferlearning.ipynb</u>

Pre-Trained Models for Text

https://modelzoo.co/model/pytorch-nlp

(Optional) News



arXiv.org > cs > arXiv:1904.01569

Computer Science > Computer Vision and Pattern Recognition

Exploring Randomly Wired Neural Networks for Image Recognition

Saining Xie, Alexander Kirillov, Ross Girshick, Kaiming He

(Submitted on 2 Apr 2019 (v1), last revised 8 Apr 2019 (this version, v2))

Neural networks for image recognition have evolved through extensive manual design from simple chain-like mc paths. The success of ResNets and DenseNets is due in large part to their innovative wiring plans. Now, neural ar exploring the joint optimization of wiring and operation types, however, the space of possible wirings is constrait despite being searched. In this paper, we explore a more diverse set of connectivity patterns through the lens of this, we first define the concept of a stochastic network generator that encapsulates the entire network generation view of NAS and randomly wired networks. Then, we use three classical random graph models to generate rando are surprising: several variants of these random generators yield network instances that have competitive accurate results suggest that new efforts focusing on designing better network generators may lead to new breakthrough: spaces with more room for novel design.

Based on neural architecture search (NAS) and stochastic network generators

<u>https://arxiv.org/abs/1904.01569</u>

Exploring Randomly Wired Neural Networks for Image Recognition

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Also utilizes an LSTM controller with probabilistic behavior (will discuss LSTMs in a different context next lecture)



Based on neural architecture search (NAS) and stochastic network generators

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Remaining Course Topics

http://pages.stat.wisc.edu/~sraschka/teaching/stat479-ss2019/#calendar